



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Seishi Kato *et al.*

Application Serial No.: 09/485,951

Filed: February 17, 2000

For: Human Galectin-9-Like Proteins And cDNAs  
Encoding These Proteins

Attorney Docket No.: GIN-6707CPUS  
(formerly GI6707PCT-US)

Group Art Unit: 1642

Examiner: Karen A. Canella, Ph.D.

Assistant Commissioner for Patents  
Box Sequence  
Washington, D.C. 20231

**TRANSMITTAL LETTER FOR DISKETTE CONTAINING  
SEQUENCE LISTING**

Dear Sir:

Enclosed is an initial diskette which contains a computer readable form of the substitute Sequence Listing for the patent application filed herewith. The Sequence Listing complies with the requirements of 37 C.F.R. § 1.821. The information recorded in computer readable form is identical to the written substitute Sequence Listing (paper copy) appearing on pages 1-10 submitted herewith, as required by 37 C.F.R. § 1.821(f). The computer readable form of the Sequence Listing contained on the enclosed diskette is understood to comply with the requirements of § 1.824(d).

**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Sequence, Washington, D.C. 20231 on:

12/24/00

Date

*Chi Suk Kim*

Chi Suk Kim, Reg. No. 42,728

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

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Application No. 09/485,951

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**

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RE: National U.S. Patent Application Serial No. 09/485,951  
Titled: Human Galectin-9-Like Proteins And cDNAs Encoding These Proteins  
Filed: February 17, 2000  
Inventors: Seishi Kato et al.  
Attorney Docket No. GIN-6707CPUS (formerly GI-6707PCT-US)

Dear Sir:

We enclose herewith for filing in the above-referenced application the following:

1. Preliminary Amendment;
2. Transmittal Letter For Diskette Containing Substitute Sequence Listing;
3. Paper Copy of Substitute Sequence Listing (substitute specification pages 1-10);
4. Diskette containing Computer Readable Form of the Substitute Sequence Listing;
5. Copy of the notice to comply with sequence disclosures; and
6. Return Receipt Postcard.

Please charge any fees required for consideration and entry of these materials or credit any overpayment to Deposit Account No. 12-0080. The Undersigned requests any extensions necessary to respond. For this purpose, a duplicate of this sheet is enclosed herewith.

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